

APPENDIX B
to the
Wisconsin Works (W-2) and Related Programs Contract
for the period January 1, 2002 through December 31, 2003
between
the State of Wisconsin Department of Workforce Development
and
«Field1»

ALLOCATIONS INFORMATION FOR THE 2002-2003 W-2 AND RELATED PROGRAMS CONTRACT

Allocations information for the W-2 and Related Programs Contract for the 2002-2003 Contract Period is presented on the attached charts.

Base Allocations

The Base Allocation for W-2 and Related Programs is identified by W-2 agency by geographic area or by consortium (see W-2 Base Allocations chart) and includes the following two parts:

- Services/Administration: funding staff salaries and fringe benefits, services, overhead, and other non-benefit allowable expenditures.
- Benefits: funding for CSJs, W-2 T, and Custodial Parent of an Infant payments for the estimated caseload in each W-2 geographic area or by consortium, less a reduction for projected participant sanctions. (See Participant Sanctions calculation.)

Allocation Adjustments

In order to determine the Base Allocation, the following adjustments were made:

- 1) Small Agency Supplement: to provide a supplement to the W-2 geographic areas with the smallest allocations to reflect the need for a minimal capacity to deliver the W-2 program and to bring allocations to a \$250,000 minimum level for the combination of both Services/Administration and Benefits;
- 2) Minimum Benefits Amount: to provide an estimated amount for Benefits equivalent to five (5) W-2 Payment Cases to any W-2 geographic area that had fewer than five (5) W-2 Payment Cases in the caseload estimate; and
- 3) Milwaukee: to recognize the increased number of case transfers in Milwaukee because the county is divided into multiple geographic areas, as compared to other W-2 geographic areas. One half of the combined Base Allocation for all Milwaukee regions was distributed by caseload and one half was equally distributed among the W-2 geographic areas in that county.

The sum of Services/Administration with any adjustment if applicable for Small Agency Supplement and Benefits allocations is the Base Allocation. Although information is presented for the separate categories of Services/Administration and Benefits, a W-2 agency has the flexibility to use the Base Allocation for allowable expenses even if the ratio between Services/Administration and Benefits differs from the amounts in the chart.

Caseload Assumptions

The W-2 agency must serve all applicants seeking assistance. Providing required services is assumed within the Base Allocation although there is no specific "case count" for some activities. It is understood that some applicants will be ineligible for payment benefits or other program services. It is also understood that some applicants will decline further services, or use Job Center resources (e.g. JobNet) to obtain employment without further assistance from the W-2 agency.

Appendix B: Allocations Information for the 2002-2003 W-2 and Related Programs Contract (continued)

For the 2002-2003 W-2 and Related Programs Contract, allocations are based on the following concepts:

- 1) Although the number of cases receiving a payment has been reduced significantly since the start of W-2, there are many cases in addition to W-2 Payment Cases that the W-2 agencies are required to serve;
- 2) The composition of the caseload varies significantly from geographic area to geographic area; and
- 3) Although each case is unique, some categories of cases generally require more effort than other categories of cases.

Caseload numbers for W-2 Payment Cases, W-2 Non-Payment Cases (also referred to as Case Management only), Food Stamp Employment and Training (FSET) Cases, and Child Care Cases were taken from CARES data (EOS Report CT03). The average monthly caseload for each of the case types listed above for the period January 2000 through July 2001 was projected for the Contract Period. Because caseload numbers are dynamic, the figures are intended to demonstrate relative workload among agencies, and do not necessarily provide an absolute picture of the projected workload for a W-2 agency.

Caseload Adjustment for Difficulty Factors

Although many factors can make one case more “difficult” than another (e.g., amount of education or work experience of the participant, language barriers, mental health issues, physical disabilities), the Department has identified two factors that will be used in adjusting the W-2 agency's caseload estimate for allocation purposes.

- 1) Educational attainment - for each case where the adult has not achieved a High School diploma or equivalent; and
- 2) Disabled child or adult – for each case where the adult or a child has a disability as identified in CARES.

A point-in-time report (FCB 2001) for February 2001 was used to determine the number of cases with either or both of the characteristics described above. Regardless of the size of the agency's caseload, each occurrence of either of the two factors above will increase that W-2 agency's caseload by one case to establish an Adjusted Caseload. The Adjusted Caseload is used to distribute available Services/Administration funds proportionately among the W-2 agencies. See W-2 Base Allocations – Caseload Data chart.

Funding Level Supplement(s)

At any time(s) during the Contract Period and for any uses allowed by the Department for this Contract, a W-2 agency may supplement the funding level available for this Contract with funding already earned by the W-2 agency for TANF restricted-use funding (referred to as “Community Reinvestment”). The amount(s) and frequency of any supplement(s) are at the discretion of the W-2 agency. The W-2 agency will report any supplement(s) to the Department in accordance with the Department's fiscal policies and procedures.

Funding Outside the Base Allocation

Other funds available to W-2 agencies but not reflected in the Base Allocation for the 2002-2003 W-2 Contract and Related Programs include the following:

- Workforce Advancement and Attachment funds beginning July 1, 2002 (see JAL & WAA Allocations chart);
- Job Access Loan funds (see JAL & WAA Allocations chart);
- Children First funds (based on a local decision regarding whether the W-2 or child support agency will administer the program and subject to Department approval of the agency's plan);

Appendix B: Allocations Information for the 2002-2003 W-2 and Related Programs Contract (continued)

- Supplemental Food Stamp Employment Training funds to match local funds are available at the request of the W-2 agency as long as the W-2 agency is a county or tribe, based on federal regulations. (The requirement to serve FSET cases is covered by the Base Allocation);
- Refugee Cash Assistance funds (based on specific eligibility and reporting of costs);
- 100 percent Federally Funded Food Stamp Employment Training funds for Able-bodied Adults without Dependents (ABAWDs) for W-2 agencies as long as the state receives 100 percent Federally Funded FSET funding; (The requirement to serve FSET cases including ABAWDs is covered by the Base Allocation);
- Contracted Child Care funds (based on a specific plan submitted by the W-2 agency and approved by the Department);
- Community Reinvestment funds earned under the 2000-2001 Wisconsin Works (W-2) and Related Programs Implementation Contract;
- Transition funds for new W-2 agencies or existing W-2 agencies operating in different geographic areas (based on available funds).

Allocation Method for Workforce Advancement and Attachment funds for W-2 Agencies

In accordance with Wisconsin Statutes, case counts for W-2 Case Management cases, FSET, Child Care, diversions from W-2 and non-custodial parents were used in the allocation formula.

Allocation Method for Job Access Loan Funds for W-2 Agencies

Job Access Loan funds were allocated based on a proportion of the W-2 Base Allocation Contract plus additions for usage of JAL funds under the 2000-2001 W-2 contract.

Funding that was part of the 2000-2001 W-2 and Related Programs Implementation Contract that will not be a part of the 2002-2003 W-2 and Related Programs Contract

The philosophical direction of the W-2 program for the 2002-2003 Contract Period emphasizes retention and advancement in the workplace. A distinction is being made between entitlement programs and non-entitlement work-based programs. Entitlement programs are being aligned with the Income Maintenance (IM) contract and the non-entitlement work-based programs are being aligned with the W-2 contract. For this reason, the responsibilities for and funding for eligibility determination and case processing for entitlement programs (i.e., Food Stamps and Medicaid) is removed from the W-2 Contract beginning January 1, 2002. The numbers presented in the following charts reflect the removal of funding for Food Stamp and Medicaid eligibility determination processing.

Child Care Administrative Funding

The Base Allocations for W-2 agencies includes funding for determining eligibility for subsidized child care. That responsibility has been a requirement of the W-2 agencies since the first W-2 contract and continues to be a requirement in the 2002-2003 contract. The Department values child care as an integral part of the employment and training services it delivers.

Participant Sanctions Calculation

The statewide estimate for participant sanctions under the 2002-2003 W-2 and Related Programs Contract as calculated in the Biennial Budget is \$15,157,583. This amount was distributed across all W-2 agencies on the basis of each W-2 agency's historical sanction information. Actual participant sanctions assessed between

Appendix B: Allocations Information for the 2002-2003 W-2 and Related Programs Contract (continued)

January 1, 2000 and June 30, 2001 were compared to determine each agency's relative portion of the \$15,157,583 sanction amount. Each agency's relative portion of the \$15,157,583 sanction amount has been subtracted from that agency's Benefits amount shown on Appendix A and on the W-2 Base Allocation Chart of this Appendix.

The methodology used to determine each agency's sanction amount was recommended by the W-2 Contract and Implementation Committee.

W-2 Base Allocation - Caseload Data

W-2 Geographic Area	W-2 Cash	W-2 non Cash	FSET	Child Care	W-2 AG less than HS	W-2 AG with Disabled Child or Adult	Adjusted Caseload
Adams Co	5	3	13	52	2	1	76
Ashland Co	6	1	8	97	3	1	116
Barron Co	7	5	20	144	8	1	185
Bayfield Co	2	1	7	37	0	0	47
Brown Co	21	24	48	737	17	3	850
Buffalo Co	2	5	8	32	1	0	48
Burnett Co	1	2	3	28	0	0	34
Calumet Co	6	4	2	78	7	0	97
Chippewa Co	19	9	3	192	13	2	238
Clark Co	4	4	20	37	2	1	68
Columbia Co	10	13	10	110	4	3	150
Crawford Co	1	0	1	46	0	0	48
Curtis & Assoc. Waukesha	42	40	57	573	23	4	739
Dane Co	325	218	124	1,627	212	73	2,579
Dodge Co	26	24	24	233	16	8	331
Door Co	7	8	6	86	4	1	112
Douglas Co	29	38	65	213	20	5	370
Dunn Co	18	25	15	78	4	2	142
Eau Claire Co	20	24	49	411	18	8	530
Fond du Lac Co	46	19	25	289	23	16	418
Forward Service Florence	2	2	2	12	5	1	24
Forward Service N. Consortium	25	18	45	216	29	5	338
Forward Service Kewaunee	4	2	4	38	1	0	49
Grant (SW Consortium)	14	9	26	291	1	6	347
Green Lake Co	3	3	10	46	0	1	63
Iron Co	2	2	1	24	0	2	31
Jefferson Co	8	14	5	105	5	1	138
Kaiser Group Walworth	32	9	20	154	10	4	229
Kenosha Co	207	154	199	667	147	52	1,426
La Crosse Co	26	23	25	472	13	7	566
Lincoln Co	5	4	18	78	2	1	108
Manitowoc Co	2	2	7	114	1	2	128
Marathon Co	60	29	40	439	42	13	623
Marinette Co	4	0	20	66	1	1	92
Marquette Co	5	1	6	41	6	3	62
Menominee Co	7	4	12	43	5	0	71
Milwaukee - YV Works-1	591	372	334	1,047	495	86	2,925
Milwaukee - YV Works-4	947	541	474	1,747	820	146	4,675
Milwaukee - UMOS-2	784	509	441	1,011	715	129	3,589
Milwaukee - UMOS-5	830	538	346	2,069	718	131	4,632
Milwaukee - MAXIMUS	1,103	581	523	1,671	963	136	4,977
Milwaukee - OIC-GM	1,013	594	258	1,704	839	149	4,557
Oconto Co	2	2	14	102	2	0	122
Oneida Tribe	9	15	4	55	11	1	95
Outagamie Co	34	44	18	408	31	8	543
Ozaukee Co	2	1	2	127	1	1	134
Pepin Co	0	1	3	19	0	0	23
Pierce Co	4	2	1	72	1	1	81
Polk Co	10	20	9	124	8	0	171
Portage Co	7	11	6	209	2	2	237
Price Co	7	4	13	76	2	4	106
Racine Co	125	18	144	789	61	30	1,167
Rock Co	136	26	56	592	55	21	886
Rusk Co	3	4	8	52	2	0	69
Sauk Co	11	5	22	117	6	2	163
Sawyer Co	3	6	4	130	0	0	143
Shawano Job Center	13	13	6	96	8	3	139
Sheboygan Co	29	24	20	187	23	8	291
St. Croix Co	6	9	5	90	2	3	115
Taylor Co	2	5	4	40	5	0	56
Trempealeau Co	7	6	8	106	1	4	132
Vernon Co	4	1	9	46	0	0	60
Washburn Co	3	3	2	47	0	1	56
Washington Co	12	9	23	244	6	3	297
Waupaca Co	24	16	11	84	11	10	156
Waushara Co	0	1	10	60	0	0	71
Winnebago Co	45	36	34	470	31	7	623
Wood Co	31	20	53	279	20	6	409
Workforce Connections Consortium	39	45	54	230	19	9	396
Contract Totals	6,839	4,225	3,867	22,006	5,503	1,129	43,569

For W-2 Payment, W-2 Non-Payment, FSET and Child Care Cases the average monthly caseload for the period January 2001 through July 2002 was projected for the Contract Period.

For the adjustment for difficulty factors (i.e., W-2 Assistance Group with less than High School diploma, and W-2 Assistance Group with a disabled child or adult), a point-in-time count for February 2001 was projected for the Contract Period.

W-2 Base Allocation

W-2 Geographic Area	Administration	Services Delivery	Benefits	Small Agency Supplement	Base Allocation
Adams Co	85,448	258,824	82,969		427,241
Ashland Co	93,347	432,120	96,844		622,311
Barron Co	142,994	695,036	115,266		953,296
Bayfield Co	59,175	153,730	82,969		295,874
Brown Co	629,333	3,221,073	345,147		4,195,553
Buffalo Co	60,081	157,354	82,969	12,851	300,404
Burnett Co	50,000	104,180	82,969		250,000
Calumet Co	80,541	358,858	97,543		536,942
Chippewa Co	208,475	869,639	311,718		1,389,832
Clark Co	78,200	229,833	82,969		391,002
Columbia Co	126,311	553,172	162,589		842,072
Crawford Co	60,081	157,354	82,969		300,404
Curtis & Assoc. Waukesha	605,292	2,742,296	687,694		4,035,282
Dane Co	2,484,134	9,198,452	4,878,304		16,560,890
Dodge Co	286,729	1,212,664	412,131		1,911,524
Door Co	91,935	415,413	105,554		612,902
Douglas Co	320,118	1,355,941	458,062		2,134,121
Dunn Co	140,739	502,505	295,013		938,257
Eau Claire Co	406,306	1,994,536	307,864		2,708,706
Fond du Lac Co	396,363	1,497,131	748,923		2,642,417
Forward Service Florence	50,000	58,833	82,969	58,198	250,000
Forward Service FOV	300,296	1,230,807	470,870		2,001,973
Forward Service Kewaunee	60,987	160,978	82,969		304,934
Grant (SW Consortium)	305,396	1,266,601	414,845	49,129	2,035,971
Green Lake Co	73,670	211,713	82,969		368,352
Iron Co	50,000	90,576	82,969	26,455	250,000
Jefferson Co	113,625	511,500	132,377		757,502
Kaiser Group Walworth	235,236	802,109	530,898		1,568,243
Kenosha Co	1,429,821	5,029,802	3,072,519		9,532,142
La Crosse Co	446,929	2,116,989	415,609		2,979,527
Lincoln Co	85,830	403,398	82,969		572,197
Manitowoc Co	99,419	480,407	82,969		662,795
Marathon Co	567,167	2,254,954	958,995		3,781,116
Marinette Co	99,944	316,806	82,969		499,719
Marquette Co	72,764	208,089	82,969		363,822
Menominee Co	82,789	238,833	92,325		413,947
Milwaukee - YW Works -1	3,906,482	14,737,580	7,399,154		26,043,216
Milwaukee - 4	4,977,802	14,451,439	13,756,103		33,185,344
Milwaukee - UMOS - 2	4,452,263	13,561,107	11,668,384		29,681,754
Milwaukee - 5	4,777,228	15,794,384	11,276,576		31,848,188
Milwaukee - OIC-GM	4,942,360	14,188,629	13,818,080		32,949,069
Milwaukee - MAXIMUS	5,237,479	13,828,605	15,850,443		34,916,527
Oconto Co	95,342	457,305	82,969		635,616
Oneida Tribe	86,844	343,496	148,622		578,962
Outagamie Co	447,704	2,012,026	524,962		2,984,692
Ozaukee Co	103,496	503,509	82,969		689,974
Pepin Co	50,000	54,298	82,969	62,733	250,000
Pierce Co	89,978	276,943	82,969		449,890
Polk Co	140,703	633,908	163,412		938,023
Portage Co	178,461	895,123	116,157		1,189,741
Price Co	89,075	391,093	113,666		593,834
Racine Co	1,079,581	4,206,800	1,910,825		7,197,206
Rock Co	924,099	3,089,383	2,147,176		6,160,658
Rusk Co	79,106	233,456	82,969		395,531
Sauk Co	138,092	600,280	182,239		920,611
Sawyer Co	109,611	538,163	82,969		730,743
Shawano Job Center	124,994	504,661	203,641		833,296
Sheboygan Co	269,091	1,049,107	475,741		1,793,939
St. Croix Co	92,812	428,125	97,810		618,747
Taylor Co	67,329	186,345	82,969		336,643
Trempealeau Co	106,939	491,006	114,982		712,927
Vernon Co	70,952	200,841	82,969		354,762
Washburn Co	67,329	186,345	82,969		336,643
Washington Co	230,922	1,114,455	194,102		1,539,479
Waupaca Co	163,653	543,010	384,354		1,091,017
Waushara Co	80,918	240,704	82,969		404,591
Winnebago Co	531,374	2,290,747	720,371		3,542,492
Wood Co	354,289	1,498,436	509,199		2,361,924
Workforce Connections Consortium	360,764	1,433,072	611,255		2,405,091
Contract Totals	44,906,547	152,456,887	99,489,600	209,366	297,062,400

Preliminary JAL & WAA Allocations

W-2 Geographic Area	JAL Allocation	WAA Allocation
Adams Co	1,060	29,799
Ashland Co	2,437	42,265
Barron Co	1,925	64,264
Bayfield Co	598	23,444
Brown Co	8,474	227,791
Buffalo Co	1,455	22,955
Burnett Co	2,624	20,755
Calumet Co	1,914	33,221
Chippewa Co	3,312	69,153
Clark Co	2,121	30,532
Columbia Co	1,701	49,354
Crawford Co	607	27,844
Curtis & Assoc. (Waukesha)	21,665	283,033
Dane Co	41,669	611,307
Dodge Co	5,345	97,263
Door Co	1,238	40,554
Douglas Co	9,084	97,263
Dunn Co	8,210	47,887
Eau Claire Co	12,525	141,261
Fond du Lac Co	10,456	157,638
Forward Service (Florence)	505	14,889
Forward Service (N. Consortium)	5,867	102,640
Forward Service (Kewaunee)	616	21,244
Grant (SW Consortium)	6,826	117,551
Green Lake Co	1,204	26,377
Iron Co	505	17,577
Jefferson Co	1,919	57,175
Kaiser Group (Walworth)	5,249	75,997
Kenosha Co	66,779	372,739
La Crosse Co	10,251	154,460
Lincoln Co	1,913	39,577
Manitowoc Co	1,339	55,709
Marathon Co	9,426	143,461
Marinette Co	1,009	54,243
Marquette Co	890	27,355
Menominee Co	836	27,844
Milwaukee - YW Works - 1	82,231	588,331
Milwaukee - 4	153,535	616,196
Milwaukee - UMOS - 2	107,779	783,389
Milwaukee - 5	147,348	738,413
Milwaukee - OIC-GM	126,307	991,402
Milwaukee - MAXIMUS	176,185	822,743
Oconto Co	2,174	45,198
Oneida Tribe	1,169	30,288
Outagamie Co	7,614	142,728
Ozaukee Co	1,394	47,154
Pepin Co	505	16,600
Pierce Co	1,556	35,177
Polk Co	7,413	51,798
Portage Co	8,889	78,442
Price Co	1,443	37,132
Racine Co	14,537	349,030
Rock Co	23,450	207,503
Rusk Co	1,707	31,021
Sauk Co	1,859	61,576
Sawyer Co	7,882	60,842
Shawano Job Center	3,207	48,865
Sheboygan Co	8,510	83,575
St. Croix Co	3,218	41,776
Taylor Co	1,528	24,422
Trempealeau Co	2,796	42,999
Vernon Co	1,225	31,999
Washburn Co	2,084	29,799
Washington Co	6,711	85,286
Waupaca Co	4,637	52,043
Waushara Co	817	33,466
Winnebago Co	7,155	155,683
Wood Co	18,755	102,640
Workforce Connections Consortium	10,826	106,063
Totals	1,200,000	10,000,000

Participant Santions Calculation

W-2 Geographic Area	Benefits Attributal to Sanctions
Adams Co	0
Ashland Co	2,719
Barron Co	891
Bayfield Co	0
Brown Co	3,325
Buffalo Co	0
Burnett Co	0
Calumet Co	2,020
Chippewa Co	3,566
Clark Co	0
Columbia Co	3,350
Crawford Co	0
Curtis & Assoc. Waukesha	9,249
Dane Co	514,710
Dodge Co	19,310
Door Co	10,603
Douglas Co	23,161
Dunn Co	3,677
Eau Claire Co	24,014
Fond du Lac Co	14,396
Forward Service Florence	0
Forward Service FOV	10,352
Forward Service Kewaunee	0
Grant (SW Consortium)	0
Green Lake Co	0
Iron Co	0
Jefferson Co	374
Kaiser Group Walworth	106
Kenosha Co	362,416
La Crosse Co	15,832
Lincoln Co	0
Manitowoc Co	0
Marathon Co	36,638
Marinette Co	0
Marquette Co	0
Menominee Co	23,832
Milwaukee - YW Works -1	2,407,852
Milwaukee - 4	1,958,310
Milwaukee - UMOS - 2	1,341,225
Milwaukee - 5	2,496,352
Milwaukee - OIC-GM	2,991,530
Milwaukee - MAXIMUS	2,452,617
Oconto Co	0
Oneida Tribe	723
Outagamie Co	39,230
Ozaukee Co	0
Pepin Co	0
Pierce Co	0
Polk Co	2,527
Portage Co	0
Price Co	2,491
Racine Co	163,411
Rock Co	109,593
Rusk Co	0
Sauk Co	294
Sawyer Co	0
Shawano Job Center	12,080
Sheboygan Co	5,482
St. Croix Co	1,753
Taylor Co	0
Trempealeau Co	1,175
Vernon Co	0
Washburn Co	0
Washington Co	5,025
Waupaca Co	13,899
Waushara Co	0
Winnebago Co	26,354
Wood Co	5,212
Workforce Connections Consortium	35,907
Contract Totals	15,157,583